

## Criminal Defense & Regulatory Compliance

Facing criminal charges, white collar accusations, or regulatory investigations can be a profoundly disruptive and stressful experience that can impact on the rights, reputations and futures of business entities and individuals. Greenbaum's criminal defense team is dedicated to providing aggressive, strategic, and discreet representation in federal and state criminal and quasi-criminal government investigations, administrative enforcement proceedings, and criminal prosecutions. We have represented private businesses and corporations, public institutions and officials, senior level executives, licensed professionals (including doctors, lawyers and financial advisors), political figures, and others in federal, state, and municipal courts throughout New Jersey and New York, and well beyond the tri-state area, as well as before administrative and regulatory agencies. Our approach combines deep legal knowledge with meticulous investigative skills to develop a proactive defense strategy tailored to the unique circumstances of each case.

Attorneys in this practice area conduct, and defend against, internal investigations targeting a wide variety of alleged offenses. This work encompasses allegations of fraud (including financial, healthcare, securities and insurance fraud), corruption (including bribery, extortion, embezzlement, misappropriation of funds, and official misconduct), cybercrime, regulatory violations (including U.S. Department of Justice (DOJ) enforcement actions, Securities and Exchange Commission (SEC) enforcement actions, Financial Industry Regulatory Authority (FINRA) investigations, Environmental Protection Agency (EPA) violations, Occupational Safety and Health Administration (OSHA) violations, antitrust violations, and healthcare regulatory compliance associated with HIPAA, Stark Law, and anti-kickback statutes).

Our experience includes alleged violations of the Foreign Corrupt Practices Act (FCPA), the False Claims Act (FCA) including *qui tam* actions, money laundering, conspiracy, racketeering (RICO), and breaches of fiduciary duty. Members of this practice group also have substantial experience providing counsel related to regulatory compliance issues involving the Office of Attorney Ethics (OAE), the Board of Medical Examiners (BME), and the U.S. Department of Justice (DOJ) in responding to anti-corruption task forces and other compliance matters.

The firm provides highly effective representation by a team of skilled trial and appellate attorneys with broad and extensive experience in the practice of criminal law. We represent our clients in responding to grand jury investigations, search warrants, and in regulatory and administrative inquiries, as well as in responding to

subpoenas issued by the DOJ and other agencies and regulators. We offer guidance on the establishment of strategic compliance programs and best practices in recordkeeping that can better position our corporate clients to deal with law enforcement authorities. We conduct thorough and discreet internal investigations for businesses to uncover facts, assess liability, and recommend corrective actions, often to prevent or mitigate formal charges. Beyond white collar offenses, we handle serious felony and misdemeanor charges that may arise in a business or personal context. Our ultimate goal is to avoid charges, and some of our primary successes have come not in the courtroom but in circumstances where we have persuaded authorities not to pursue our clients further. When the need arises, however, we are prepared to fight vigorously on behalf of our clients in order to achieve the best possible outcome, even in the most difficult cases.

## Practice Team

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## **Insights & More**

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### **Announcements**

Greenbaum, Rowe, Smith & Davis Recognized on 2026 “Best Law Firms” List by Best Lawyers

11.06.25

2026 Edition of Best Lawyers Recognizes 54 Attorneys in 41 Practice Areas: Eight Greenbaum Lawyers Recognized on “Ones to Watch” List

8.21.25

2025 Chambers USA Guide Ranks Greenbaum, Rowe, Smith & Davis in Key Practice Areas and Recognizes 18 Attorneys as Leading Individuals

6.04.25

Mary Toscano Carpenito and Joseph A. Natale Recognized by NJBIZ as 2025 "Leaders in Law" Honorees

2.09.25

### **Client Alerts**

Corporate Transparency Act Update: Department of Treasury Issues Interim Final Rule

3.23.25

### **Published Articles**

Circuit Split Leaves Anti-Kickback Statute Standard Ripe for Guidance from the Supreme Court

Summer 2025

### **Seminars & Events**

Forensics on the Front Line: Unmasking Fraud in Civil and Criminal Litigation

12.18.25